Approved for rough 10/31/2002. OMB 0651-0031

Under the Panerwork Reduction Act of 1995, no nersons are

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				calling Recidence 10 6 DEC 1		
INFO	RMATION I	NISCI	OSTIDE	Application Number	To Be Assigned	
				Filing Date	Herewith	
STATEMENT BY APPLICANT				First Named Inventor	Mattias Hällbrink et al.	1
	(use as many sheets a	is necessa	(ציא	Art Unit	To Be Assigned	
				Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	20747/230	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-2002/031818 A1	03/14/2002	Ronai et al.			
		US-					
		US-					
		US-					
	1	US-					
	1	US-		·			

		FC	REIGN PATEN	T DOCUMENTS		,	
Examiner Initials	Cite No.1	Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	2	WO 02/18572 A	03/07/2002	Aventis Pharmaceuticals Inc.			
	3	WO 02/02595 A	01/10/2002	Synt EM S.A.			
	4	WO 02/062823 A	08/15/2002	Yale University		<u> </u>	
	5	WO 02/052583 A	07/04/2002	Bejed Inc.			
	6	WO 00/34308 A	06/15/2000	Washington University			
	7	WO 02/064453 A	08/22/2002	Brandname Properties PTY Ltd.			
		OTHER PRIOR	ART – NON PATEI	NT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	8	Sandberg et al., "New Chemical Descriptors Relevant for the Design of Biologically Active Peptides. A Multivariate Characterization of 87 Amino Acids," <i>Journal of Medicinal Chemistry</i> 41:2481-2491 (1998)					
	9	Lindgren et al., "Cell-Pene 21(3):99-103 (2000)	trating Peptide	s," Trends in Pharmacological Scie	ences		
	10	Derossi et al., "Trojan Pep in Cell Biology 8(2):84-87		etratin System for Intracellular Deli	very," Trends		

Examiner	Dat	ate
Signature	Con	onsidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

0T12 Rec'd PCT/PTO 0 6 DEC 2004.

PTO/SB/08B (10-01)

Approved for a brough 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO INFORMATION DISCLOSURE				Complete if Known 0/517079		
				Application Number To Be Assigned		
				Filing Date Herewith		
SIAI	STATEMENT BY APPLICANT			First Named Inventor Mattias Hällbrink et al.		
(use as many sheets as necessary)			(ער	Group Art Unit	To Be Assigned	
				Examiner Name To Be Assigned		
Sheet	2	of	2	Attorney Docket Number	20747/230	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	T²
nitials*	No.1	item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	, ·
	11	Vives et al., "A Truncated HIV-1 Tat Protein Basic Domain Rapidly Translocates Through the Plasma Membrane and Accumulates in the Cell Nucleus," <i>Journal of Biological Chemistry</i> 272(25):16010-16017 (1997)	
	12	Mi et al., "Characterization of a Class of Cationic Peptides Able to Facilitate Efficient Protein Transduction In Vitro and In Vivo," <i>Molecular Therapy</i> 2(4):339-347 (2000)	
	13	Dokka et al., "Cellular Delivery of Oligonucleotides by Synthetic Import Peptide Carrier," Pharmaceutical Research 14(12):1759-1764 (1997)	
	14	Kircheis et al., "Design and Gene Delivery Activity of Modified Polyethylenimines," Advanced Drug Delivery Reviews 53(3):341-358 (2001)	
	15	Hashida et al., "Fusion of HIV-1 Tat Protein Transduction Domain to Poly-Lysine as a New DNA Delivery Tool," <i>British Journal of Cancer</i> 90(6):1252-1258 (2004)	
	16	Tréhin et al., "Chances and Pitfalls of Cell Penetrating Peptides for Cellular Drug Delivery," European Journal of Pharmaceutics and Biopharmaceutics 58(2):209-223 (2004)	
	17	Ignatovich et al., "Complexes of Plasmid DNA with Basic Domain 47-57 of the HIV-1 Tat Protein are Transferred to Mammalian Cells by Endocytosis-Mediated Pathways," Journal of Biological Chemistry 278(43):42625-42636 (2003)	
	18	Perales et al., "An Evaluation of Receptor-Mediated Gene Transfer Using Synthetic DNA-Ligand Complexes," European Journal of Biochemistry 226(2):255-266 (1994)	
	18	Wagner et al., "Transferrin-Polycation-DNA Complexes: The Effect of Polycations on the Structure of the Complex and DNA Delivery to Cells," <i>Proceedings of the National Academy of Sciences of USA</i> 88(10):4255-4259 (1991)	
	20	Singh et al., "Peptide-Based Intracellular Shuttle Able to Facilitate Gene Transfer in Mammalian Cells," <i>Bioconjugate Chemistry</i> 10(5):745-754 (1999)	

			
Examiner		Date	
Signature		Considered	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.